



Docket: 186714/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Daniel L. Dunn	
Application No.:	10/688,858	
Filing Date:	October 17, 2003	Examiner: L. Le
Title:	System and Method for Assessing Healthcare Risks	Group Art Unit: 3626

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(B)

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 7 day of September, 2006.

Francis Vane
(Signature)

Dear Sir:

Pursuant to 37 CFR § 1.97(b), the references listed on the attached Form PTO SB O8A (3 sheets, submitted in duplicate) are brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application.

Pursuant to 37 C.F.R. § 98(a), a list of patents and publications is provided and only copies of the other documents are provided. Copies of the U.S. patent references are not provided.

A check in the amount of \$180.00 is submitted herewith for the submission of the Information Disclosure Statement.

The Commissioner is hereby authorized to charge any deficiencies and/or credit any overpayments to Deposit Account No. 04-1420.

09/12/2006 HVUONG1 00000031 041420 10688858
01 FC:1806 60.00 DA 120.00 OP

Respectfully submitted,

DORSEY & WHITNEY LLP
Customer Number 25763

Date: Sept. 7, 2006

By: Adriana S. Luedke
Adriana S. Luedke, Reg. No. 41,956
(612) 492-6858

The PTO did not receive the following listed item(s) _____

The PTO did not receive the following listed item(s) #180 but receive #120



Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	10/688,858
Filing Date	October 17, 2003
First Named Inventor	Daniel L. Dunn
Art Unit	3626
Examiner Name	L. Le

Sheet 1 of 3

Attorney Docket Number 186714/US

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,587,829	07-2003	Camarda et al.	
		US- 5,970,463	10-1999	Cave et al.	
		US- 5,956,689	09-1999	Everhart, III, Kenneth A.	
		US- 5,544,044	08-1996	Leatherman, Sheila	
		US- 5,557,514	09-1996	Seare et al.	
		US- 6,000,828	12-1999	Leet, Larry	
		US- 5,486,999	01-1996	Mebane, Andrew H.	
		US- 5,715,451,	02-1998	Marlin, Tom	
		US- 5,835,897	11-1998	Dang, Dennis K.	
		US- 6,3710,511	04-2002	Dang, Dennis K.	
		US- 6,363,393	03-2002	Ribitzky, Ron	
		US- 2001/0029322	10-2001	Iliff, Edwin C.	
		US- 2001/0020229	09-2001	Lash, Arnold	
		US 5,752,236	05-1998	Sexton et al.	
		US 2003/0167189	09-2003	Lutgen et al.	
		US 5,845,254	12-1998	Lockwood et al.	
		US 6,163,770	12-2000	Gamble et al.	
		US 6,456,979	09-2002	Flagg, Barry D.	
		US 5,924,073	07-1999	Tyuluman et al.	
		US 2004-0024620	02-2004	Robertson et al.	
		US 5,940,802	08-1999	Hildebrand et al.	
		US 5,498,524	03-1996	Hall, Christian	
		US 2004-0049408	03-2004	Voss et al.	
		US 6,385,589	05-2002	Trusheim et al.	

EXAMINER SIGNATURE

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO				Application Number		10/688,858	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Filing Date		October 17, 2003	
				First Named Inventor		Daniel L. Dunn	
				Art Unit		3626	
				Examiner Name		L. Le	
Sheet	2	of	3	Attorney Docket Number		186714/US	

		US 6,484,144	11-2002	Martin et al.	
		US 6,578,003	06-2003	Camarda et al.	
		US 5,706,441	01-1998	Lockwood, Edward J.	
		US 5,819,228 A	10-1998	Spiro, Alan	
		US 5,976,082 A	11-1999	Wong et al.	
		US 6,061,657	05-2000	Whiting-O'Keefe, Quinn	
		US 6,223,164 B1	04-2001	Sear et al.	
		US 6,266,645 B1	07-2001	Simpson, Kit N.	
		US 2003/0195772 A1	10-2003	Meek et al.	
		US 6,581,204	06-17-2003	Brian C. DeBusk et al.	
		US 6,629,095	09-30-2003	William M. Wagstaff et al.	
		US 6,802,810	10-12-2004	Anthony Clarniello et al.	

FOREIGN PATENT DOCUMENTS								
*Examiner Initial	Cite No.	FOREIGN PATENT DOCUMENT		Number - Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:					YES	NO
		WIPO	WO 99/44167	09-1999	Kapp		<input type="checkbox"/>	<input type="checkbox"/>

EXAMINER SIGNATURE	DATE CONSIDERED
--------------------	-----------------

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number		10/688,858	
				Filing Date		October 17, 2003	
				First Named Inventor		Daniel L. Dunn	
				Art Unit		3626	
				Examiner Name		L. Le	
Sheet	3	of	3	Attorney Docket Number		186714/US	
OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS							
*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION				
			YES	NO			
		Alexandre, Leslie M. " High Cost Patients in a Free- For- Service Medical Plan, The Case for Earlier Intervention", <i>Medical Care</i> , February 1990, Vol. 28, no. 2, pp.112-123.	<input type="checkbox"/>	<input type="checkbox"/>			
		Clark, Daniel O. et al., " A Chronic Disease Score with Empirically Derived Weights ", <i>Medical Care</i> , 1995, Vol. 33, No. 8, pp. 783-795.	<input type="checkbox"/>	<input type="checkbox"/>			
		Roblin, Douglas W., et al., " A Low-Cost Approach to Prospective Identification of Impending High-Cost Outcomes", <i>Medical Care</i> , Vol.37, no. 11 pp. 1155-1163.	<input type="checkbox"/>	<input type="checkbox"/>			
		Steen, Paul, M., " Approaches to Predictive Modeling, " <i>Ann Thorac Surg</i> 1994;58:1836-40.	<input type="checkbox"/>	<input type="checkbox"/>			
		Imagine If You Could Obtain Accurate Clinical Data From The Point Of Care. MD Trends Web Site. December 4, 2000. [Retrieved on November 3, 2002]. Retrieved from the internet:< URL: http://web.archive.org/web/20001204191600/www.mdtrends.com/ >	<input type="checkbox"/>	<input type="checkbox"/>			
		" Looking to manage care more closely. (new technique in case management to manage chronic illness and high-risk pregnancies)", Shoor, Rita, <i>Business & Health</i> , v11, n10, p46 (6), Sept. 1993, Dialog File 149, Acc. No. 01429566.	<input type="checkbox"/>	<input type="checkbox"/>			
		The burden of Illness in Canada, accessed at www.burdenofilness.ca , 12/3/03	<input type="checkbox"/>	<input type="checkbox"/>			
		Ingenix Announces New Clinical Care Groups' Episode Grouping Tool With Integrated Pharmaceutical Data. April 11, 2000. Ingenix, Inc. [Retrieved from the Internet on October 21, 2002]. URL: < http://www.ingenix.com/releases/4-11-00.html >	<input type="checkbox"/>	<input type="checkbox"/>			
		Ingenix Products and Services. May 11, 2000. Ingenix, Inc. [Retrieved from the Internet on August 20, 2003]. URL:< http://web.archive.org/web/20000511154002/www.ingenix.com/products/products.html >	<input type="checkbox"/>	<input type="checkbox"/>			
EXAMINER SIGNATURE				DATE CONSIDERED			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Sent completed form to: **Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450**